

PHẦN VĂN BẢN KHÁC**BỘ NGOẠI GIAO****BỘ NGOẠI GIAO****CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**
Độc lập - Tự do - Hạnh phúc

Số: 10/2014/TB-LPQT

Hà Nội, ngày 13 tháng 3 năm 2015

THÔNG BÁO**Về việc điều ước quốc tế có hiệu lực**

Thực hiện quy định tại khoản 3 Điều 47 của Luật Ký kết, gia nhập và thực hiện điều ước quốc tế năm 2005, Bộ Ngoại giao trân trọng thông báo:

Thư trao đổi sửa đổi lần 1 Thỏa thuận giữa Chính phủ nước Cộng hòa xã hội chủ nghĩa Việt Nam và Chính phủ Thụy Sĩ về Dự án "Thiết lập hệ thống giám sát và đánh giá phục vụ công tác quản lý ngành Nông nghiệp và Phát triển nông thôn giai đoạn 2" ký tại Hà Nội ngày 29 tháng 01 năm 2015, có hiệu lực kể từ ngày 29 tháng 01 năm 2015.

Bộ Ngoại giao trân trọng gửi Bản sao Hiệp định theo quy định tại Điều 68 của Luật nêu trên./.

TL. BỘ TRƯỞNG
KT. VỤ TRƯỞNG
VỤ LUẬT PHÁP VÀ ĐIỀU ƯỚC QUỐC TẾ
PHÓ VỤ TRƯỞNG

Nguyễn Văn Ngự



Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

Swiss Cooperation Office for Vietnam (SDC / SECO)

H.E. Cao Duc Phat
Minister
Ministry of Agriculture
and Rural Development
2 Ngoc Ha Str., Ba Dinh, Ha Noi
Viet Nam

Reference:
Your reference: /Our reference: 852-1(14).02/NGUTH-WAY
Hanoi, 15 December 2014

Subject: Letter of Exchange as First Amendment to the Project Agreement between the Government of Switzerland and the Government of the Socialist Republic of Viet Nam on Project "Monitoring and Evaluation for Management of the Agriculture and Rural Development Sector"(MESMARD-2), phase 2 (01 March 2010 – 28 February 2015) signed on 29 March 2010

Dear Minister Cao Duc Phat,

The project "Monitoring and Evaluation for Management of the Agriculture and Rural Development Sector, phase 2" (MESMARD-2) initiated in 2010 has so far made significant achievements in improving the results-based planning process and monitoring and evaluation system for the Ministry of Agriculture and Rural Development (MARD) as confirmed by the Mid-term Review of the Project in 2012.

We refer to the Letter dated 27 November 2014 and Project Document MESMARD-2 Extended developed and submitted to the Swiss Cooperation Office for Viet Nam by MARD (as enclosed) asking for the ten-month cost extension of MESMARD-2 based on the Project Agreement between the Government of Switzerland and the Government of the Socialist Republic of Vietnam on the MESMARD-2 signed on 29 March 2010 (hereinafter: Project Agreement). We are pleased to confirm our approval for the additional budget of **USD 440'122** by the Government of Switzerland for the extended period from 01 March 2015 to 31 December 2015, which makes the total Swiss contribution of USD 3'115'357 to MESMARD-2. The cost extension will enable the achievements of MESMARD-2 to be fully mainstreamed and leave a sustainable impact in the next five-year plans 2016-2020 as well as to consolidate and sustainably entrench result-based planning, monitoring and evaluation capacity in MARD and in the two pilot provinces.

Swiss Agency for Development and Cooperation SDC
State Secretariat for Economic Affairs SECO
Swiss Cooperation Office for Vietnam (SDC/ SECO)
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hanoi@eda.admin.ch
www.swiss-cooperation.admin.ch/mekong

Reference:

Considering the Article 13.2 of the mentioned Project Agreement, we have the pleasure to propose for your approval the subsequent adaptation and amendment of the Project Agreement and its Annexes as follows:

1. The paragraph 2 of Article 2 is added as follow:

**ARTICLE 2
SPECIFIC OBJECTIVES**

2.2

The supplementary outputs and activities to be undertaken for the extension period are given in the Project Document MESMARD-2 extended (Annex 6) which form the integral parts of this Agreement.

2. The paragraph 1 and 2 of Article 4 are amended as follows:

**ARTICLE 4
CONTRIBUTIONS**

- 4.1 The Swiss Government shall commit funds in form of a non-reimbursable grant up to a maximum of **USD 3'115'357** (three million, one hundred and fifteen thousand, three hundred and fifty seven United States dollars) in accordance with the description of the project and budget in the Project Document MESMARD-2 and the Project Document MESMARD-2 extended (Annex 6). The budget allocation will be as follows:

| | 2010-2013 | 2014 | 2015 | Total |
|--------------|-----------|---------|---------|-----------|
| Amount (USD) | 1'973'764 | 658'110 | 483'483 | 3'115'357 |

- 4.2 The Government of Viet Nam shall contribute an amount of **USD 817'628** (eight hundred seventeen thousand, six hundred and twenty eight United States dollars) to cover for the basic salary for staff at different levels, infrastructure, electricity, water, tax reduction and other activities as indicated in the Project Document MESMARD-2 and the Project Document MESMARD-2 extended (Annex 6).

3. The paragraph 1 and 2 of Article 6 are amended as follows:

**ARTICLE 6
RELEASE OF SWISS FUNDS**

- 6.1 The Contribution from the Swiss Government up to a maximum of **USD 3'115'357** (three million, one hundred and fifteen thousand, three hundred and fifty seven United States dollars) will be transferred to the separate project bank account with details as follows:

Name of Beneficiary: Project of Monitoring and Evaluation in Support of Management in the Agricultural and Rural Development Sector - Department of Planning

Account Number: 1400206007499 (USD)

Reference:

Name of Bank: Vietnam Bank for Agricultural and Rural Development, Lang Ha branch
 Address of Bank: 24 Lang Ha street, Dong Da district, Hanoi, Viet Nam
 Swift code: VBAAVNVX 402

- 6.2 Payment shall be made twice a year, based on the outcomes of the semi-annual meeting of the PSC as the following schedule:

| Payment schedule | Max amount (USD) |
|----------------------------------|------------------|
| Balance transferred from phase 1 | 566 |
| Paid in April 2010 | 300'000 |
| Paid in December 2010 | 300'000 |
| Paid in April 2011 | 300'000 |
| Paid in November 2011 | 700'000 |
| Paid in November 2012 | 300'000 |
| Paid in September 2013 | 300'000 |
| Paid in November 2013 | 300'000 |
| Paid in September 2014 | 400'000 |
| To be paid in March 2015. | 150'000 |
| Final Payment up to maximum of | 64'791 |

Payments in March will be released upon the submission of Annual Progress Report and Financial Report of the previous year together with Annual Operational Plan and Budget Plan for current year which are approved by PSC.

Payments in September will be released upon the submission of Progress Reports and Financial Reports for the 1st six month of the current year and the Audit Report of the previous year.

The final payment will be released upon the submission of the Project Completion Report and the Final Audit Report of the whole project.

The remaining balance from the previous period shall be deducted from the following semi-annual payment.

4. The paragraph 1 and 3 of Article 13 are amended as follows:

**ARTICLE 13
FINAL PROVISIONS**

- 13.1 The present Agreement shall come into force upon signature and cover the period from 01.03.2010 until 31.12.2015. It shall remain valid until the fulfilment of all mutual obligations.

13.3

Annex 6: Project Document MESMARD-2 extended

Reference.

All other Articles of the Project Agreement remain unchanged. The modification has no further implications on other aspects of the project implementation.

This Letter of Exchange and its annex (Letter dated 27 November 2014 and Project Document MESMARD-2 extended) constitute integral part of the amended Project Agreement and shall come into force on the date of your signature.

This Letter of Exchange is made in two original copies in English. Please confirm your agreement with the foregoing by signing the enclosed copy of this letter and returning it to us.

Sincerely yours,



Samuel Waelty
 Samuel Waelty
 Country Director - SDC
 Swiss Cooperation Office for Viet Nam
 (SDC/ SECO)

Ha Noi, Date *15/12/2014*

Agreed and confirmed

Cao Duc Phat
 Cao Duc Phat
 Minister
 Ministry of Agriculture and Rural
 Development

Ha Noi, Date *Jan. 28, 2015*

Enclosure: Letter dated 27.11.2014 and Project Document MESMARD-2 extended

RECEIVED

27 NOV 2014



BUỘT NGHIỆP VÀ PHÁT TRIỂN NÔNG THÔN - VIỆT NAM
MINISTRY OF AGRICULTURE AND RURAL DEVELOPMENT OF S.R. VIETNAM (MARD)

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| | | | | | |
|---------|--|--|--|--|--|
| To: WAY | | | | | |
| Date: | | | | | |
| By: | | | | | |

Samuel Waelty
Country Director
Swiss Cooperation Office
for Vietnam (SDC/ SECO)
Add: 16/F Hanoi Central Office Building
44B Ly Thuong Kiet, Ha Noi
Tell: (84-4) 3 9346627 Fax: (84-4) 3 9346633

Hanoi, November 27th, 2014

Ref.: 350 /ICD-MARD

Dear Mr. Samuel Waelty

Subject: Request for approval of additional budget and extension period for the project "Monitoring and Evaluation for Management of Agriculture and Rural Development - Phase II" (MESMARD-2).

Firstly, on behalf of MARD, I would like to thank you and your organization for your kind support and cooperation. MARD highly appreciates significant achievements of "Monitoring and Evaluation for Management of Agriculture and Rural Development" (MESMARD) in improving the results-based planning process and monitoring and evaluation system for the Ministry of Agriculture and Rural Development (MARD). The ongoing MESMARD-2 (From 01st March 2010 - 28th February 2015) had achieved good results as assessed in the Mid-term Review Mission in 2012.

In order to enable these achievements to be fully mainstreamed and contributed their positive impacts in the next 5-year plan (2016-2020), especially, for consolidating sustainably result-based planning, monitoring and evaluation capacity in MARD and 2 pilot provinces, MARD developed a extended Project document for additional budget and 10 months extension from 1st March to 31st December 2015 as in the signed exchange letter between MARD and SDC. After many consultation rounds with relevant Government ministries, the Prime Minister approved our proposed adjustments in the extended project document of the second phase at the Decision No.1268/QD-TTg dated 29th July 2014.

Therefore, we would like to send the attached document herewith for your consideration and approval.

Finally, again I would like to thank you very much for your continued cooperation and support, and look forward to receiving your positive response at your earliest convenience.

Yours sincerely,



Tran Kim Long
Director General, ICD

Monitoring and Evaluation for Management of Agriculture and Rural Development - Phase II extended

**MINISTRY OF AGRICULTURE
AND RURAL DEVELOPMENT**

**SWISS AGENCY FOR DEVELOPMENT
AND COOPERATION**

PROJECT DOCUMENT

**MONITORING AND EVALUATION FOR MANAGEMENT
OF THE AGRICULTURE AND RURAL
DEVELOPMENT SECTOR - PHASE II
(MESMARD-2- EXTENDED)**

Implementation duration: From March 1st to December 31st, 2015

(Final)

November 2014

*Monitoring and Evaluation for Management of Agriculture and Rural Development - Phase II extended***TABLE OF CONTENTS**

| | |
|--|-----------|
| I. PROJECT SUMMARY | 5 |
| II. LEGAL JUSTIFICATION | 6 |
| III. BACKGROUND | 7 |
| 3.1. Socio- economic management renovation in Vietnam | 7 |
| 3.2 Planning renovation context in MARD | 8 |
| 3.3. Sector planning renovation and plan implementation monitoring and evaluation results at the Ministry of Agriculture and Rural Development | 8 |
| 3.4 Necessity for extending the project implementation (project extension) and demand for ODA technical assistance | 11 |
| IV. PROJECT ARGUMENTS | 12 |
| 4.1 Alignment of project objectives with the donor's policy and directions | 12 |
| 4.2 Compliance with the donor's project eligibility criteria | 13 |
| V. PROJECT OBJECTIVE | 14 |
| 5.1 Overall objective of the MESMARD, phase 2 | 14 |
| 5.2 MESMARD's specific objectives, phase 2 | 14 |
| VI. PROJECT DESCRIPTION | 15 |
| 6.1 The project key outcomes and outputs | 15 |
| 6.2. Project implementation duration: | 16 |
| 6.3. Project scope | 16 |
| VII. PROJECT BENEFICIARIES | 18 |
| VIII. PROJECT IMPLEMENTATION AND m&e PLAN | 18 |
| 8.1 Implementation plan: From March 1 st , 2015 to December 31 st , 2015 | 18 |
| 8.2 Monitoring and Evaluation Plan for the project implementation | 20 |
| IX. PROJECT IMPLEMENTATION MANAGEMENT | 22 |
| 9.1 Implementation management capacity of the DOP- the project implementation agency | 22 |
| 9.2 Project implementation management | 22 |
| X. PROJECT BUDGET | 30 |
| XI. FINANCIAL ARRANGEMENT | 33 |
| XII. ADVANCE ACTIONS | 34 |
| XIII. APPENDIXES | 35 |
| APPENDIX 1 -PROJECT LOGFRAME | 36 |
| APPENDIX 2 - PROJECT WORKPLAN | 41 |
| APPENDIX 3 - PROJECT BUDGET | 44 |

LIST OF ABBREVIATIONS

| | |
|----------------|---|
| ADB | Asian Development Bank |
| AusAid | Australian Agency for International Development |
| CIS | Centre for Informatics and Statistics, MARD (aka ICARD: Information Centre for Agriculture and Rural Development) |
| CPRGS | Comprehensive Poverty Reduction and Growth Strategy |
| DARD | Department of Agriculture and Rural Development, MARD |
| DDFS | Department of Dyke, Flood and Storm Management, MARD |
| DOP | Department of Planning, MARD |
| GD | General Departments, MARD |
| GoV | Government of Vietnam |
| GSO | General Statistics Office |
| IBS | International Backstop Specialist |
| IPSARD | Institute for Policy and Strategy for Agriculture and Rural Development |
| M&E | Monitoring and Evaluation |
| MARD | Ministry of Agriculture and Rural Development |
| MDG | Millennium Development Goal |
| MERPP | Monitoring, Evaluation, Reporting, Policy and Planning |
| MESMARD | Monitoring and Evaluation for Management of Agriculture and Rural Development Sector Project. |
| MIS | Management Information System |
| MOI | Ministry of Industry |
| MOLISA | Ministry of Labor, Invalids and Social Affairs |
| MONRE | Ministry of Natural Resources and the Environment |
| MPI | Ministry of Planning and Investment |
| MTR | Mid Term Review |
| ODA | Official Development Assistance |
| PAR | Public Administration Reform |
| Pro-Doc | Project Document |
| PMU | Project Management Unit |
| SDC | Swiss Agency for Development and Cooperation |
| SEDP | Socio-economic Development Plan |

Monitoring and Evaluation for Management of Agriculture and Rural Development - Phase II extended

| | |
|--------------|---|
| SIDA | Swedish International Development Agency |
| SWOT | Strengths, Weaknesses, Opportunities, Threats (a planning technique) |
| UNDP | United Nations Development Program |
| VDG | Vietnam Development Goal |
| VNLSS | Vietnam National Living Standards Survey |

I. PROJECT SUMMARY

| | |
|---|--|
| 1. Project title | Monitoring and Evaluation for Management of the Agricultural and Rural Development Sector - Phase II extended. |
| 2. Project donor | Swiss Agency for Development and Cooperation |
| 3. Project Executive Agency | Ministry of Agriculture and Rural Development (MARD) |
| a. Address | 2, Ngoc Ha street, Hanoi |
| b. Tel./Fax | 04 38432616/04 38233811 |
| 4. Project proposing agency | Department of Planning of MARD |
| a. Address | 2, Ngoc Ha street, Hanoi |
| b. Tel./Fax | 04 38432616/04 38233811 |
| 5. Project implementation agency | Department of Planning of MARD |
| a. Address | 2, Ngoc Ha street, Hanoi |
| b. Tel./Fax | 04 38432616/04 38233811 |
| Project duration extended | From March 1 st , 2015 to December 31 st , 2015 |
| Project implementation location | Ministry of Agriculture and Rural Development (General Departments/Departments under the Ministry) 2 pilot Provincial Departments of Agriculture and Rural Development (Hoa Binh and Vinh Phuc) |

II. LEGAL JUSTIFICATION

The project document is formulated based on the following legal documents:

- Agreement between the Swiss Agency for Development and Cooperation (SDC) and the Government of Vietnam on continuing to support the Monitoring and Evaluation in Support of Management in the Agricultural and Rural Development Sector (MESMARD) project, phase 2 from March 2010 to February 2015 to Ministry of Agriculture and Rural Development;
- The Decree No.38/2013/NĐ-CP, issued in April 23rd, 2013 by the GoV, Regulation on ODA utilization and management;
- Circular 01/2014/TT-BKHĐT, issued in January 09th, 2014 by MPI, Guiding the implementation of the Decree 38/2013/NĐ-CP issued by the Government in April 23rd, 2013; Regulation on ODA utilization and management;
- Circular 04/2007/TT-BKHĐT, issued in July 30th, 2007 by MPI, Guiding the implementation of the Regulation on ODA utilization and management;
- Circular 03/2007/TT-BKHĐT, issued in July 30th, 2007 by MPI, Guiding the implementation of the Regulation on ODA utilization and management, the functions, mandates and organizational structure of ODA PMUs.
- Document 138/TTg-HTQT issued by the Office of the Government, informing the Approval on the Monitoring and Evaluation in Support of Management in the Agricultural and Rural Development Sector, phase 2 (MESMARD 2) project and assignment to MARD to approve the agreement on the project with the SDC, given by the Prime Minister.
- Agreement signed in March 29th 2010, between the GoV (MARD is on behalf) and the Government of Swiss (SDC is on behalf) on the Monitoring and Evaluation in Support of Management in the Agricultural and Rural Development Sector, phase 2 (MESMARD 2) project;
- Decision No 562/QĐ-BNN-HTQT issued by the Minister of MARD in March 11th, 2010, approval of the Monitoring and Evaluation in Support of Management in the Agricultural and Rural Development Sector, phase 2 (MESMARD 2) project, sponsored by the

Government of Swiss, and delegation to the DOP for implementation management;

- Agreement on the project extension and supplementary budget to the MESMARD-2 project has been in the letter No 852-1(14).01-NGUHA/WAY, issued in August 13th, 2013 by SDC.
- Decision No.1286/QĐ-TTg by the Prime Minister on approval of the adjustments for the project “Monitoring and Evaluation for Management of Agriculture and Rural Development-Phase II” utilising ODA from Swiss Government.

III. BACKGROUND

3.1. Socio- economic management renovation in Vietnam

Since 1986 to now, Vietnam has been transformed from a centrally planned and subsidized to a socialist- oriented market economy. From this transformation, Vietnam has gained remarkable achievements. Before, Vietnam was an agricultural country, but was a food importer, while it now becomes the second largest food exporter in the world with quite high share on the world market for a number of agricultural products. This renovation process has especially resulted in a high economic growth rate that contributes to raised income and fast poverty reduction.

In the beginning of the 21st century, Vietnam has been strongly integrated in the world economy and trade. Its open economy and the improved management system have further enabled an intensive and broad development. However, Vietnam has still suffered with many challenges for fast and sustainable development.

To improve the quality, efficiency and competitiveness of the economy, and to optimized and redistribute income for sustainable poverty reduction, from 2012, the Government has started, with high commitment, the economic restructuring through implementing the Economic Restructuring master plan in combination with renovating the growth model. Based on the national master plan on economic restructuring, all ministries/agencies and PPCs have prepared and implemented their actions plans.

To fulfil the mandates of “renovating the growth models and restructuring the economy from extensive into appropriate combination of extensive and intensive development, as defined in the CPV Eleventh Congress’s resolution, the Plan on “Restructuring agriculture to be with high quality, high value added and sustainability” has been prepared by MARD and approved by the Prime Minister in the Decision 899/QĐ-TTg issued in June 10th, 2013. The objective of this Plan is “to ensure sustainable growth, improving efficiency and competitiveness through increasing productivity, quality and value added,

meeting better the local demand and for export; to increase income and improve the living standards for rural people, ensuring food security and contributing to poverty reduction; to strengthen natural resources management, mitigating greenhouse emission and other negative impacts on environment, improving risks management capacity, actively preventing from natural disasters for implementing the National Green Growth Strategy”.

3.2 Planning renovation context in MARD

In 2005, when the 2006-2010 Socio-economic Development Plan (SEDP) was developed, the World Bank (WB), the Asian Development Bank (ADB) and other international organizations have provided supports to MPI to apply the results-based approach and logic frame in planning and for SEDP presentation. It was the first time the results-based approach was introduced to planners in Vietnam.

At sector level, Ministry of Agriculture and Rural Development (MARD) is the first ministry, which started applying the results-based approach to its sector development planning to increase the efficiency of resources utilization, especially of the public investment resources, enhancing transparency and accountability to the State and Citizen. The 5 year 2006-2010 and 2011- 2015 sector development plans had been prepared, applying the logical approach for identifying and setting the plans' objectives and M&E indicators, published by the Minister of MARD. This renovation process has been supported by the Monitoring and Evaluation in Support of Management in the Agriculture and Rural Development Sector (MESMARD) project, phase 1 from the end of 2006 to February 2010 and phase 2, from the March of 2013 to February 2015, sponsored by the Swedish Government through the Swedish Development and Cooperation Agency in Vietnam.

The 2011-2015 five year SEDP, formulated by MPI, has been adjusted to be more results- based under the support given by ADB under the “Strengthening the 2011- 2015 SEDP preparation and implementation” project. This project is on-going and MARD is the only ministry, which is selected for piloting the results- based approach at sector level. The participation in this project will help in linking the ARD sector development plan more closely to the national SEDP.

3.3. Sector planning renovation and plan implementation monitoring and evaluation results at the Ministry of Agriculture and Rural Development

To meet the requirements for renovating its sector planning and plan implementation monitoring and evaluation methods and strengthening the planning capacity of its planners, the Ministry of Agriculture and Rural

Development has been provided with a technical assistance by Swiss Development and Cooperation (SDC) through the project "Monitoring and Evaluation in Support of Management in the Ministry of Agriculture and Rural Development" (MESMARD) phase 1 from late 2006 to February 2010 and phase 2 (from March 2010 to February 2015) with a total budget of 2,675,235 USD for phase 2.

With the MESMARD support, the application of RBM approach to planning, and plan implementation monitoring and evaluation has resulted in such fundamental changes as follows:

- Improvements made in 5-year 2006-2010 and 2011-2015 agricultural and rural development plans:

- The national plan objectives and targets have been specialized into the agricultural and rural development objectives and targets;
- Identifying priority programs and activities to achieve the above mentioned objectives;
- Linking plan targets to budgeting.
- Consistent indicators and targets developed to improve consolidation and integration vertically (from central to local) and horizontally (among various types of plan);
- Using log-frame to present plans.

- The annual plans for 2011, 2012, 2013 and 2014 of such sub-sectors (as fisheries, forestry, irrigation, cultivation, cooperatives and rural development) and two pilot provinces (Hoa Binh Vinh Phuc) developed following the new template with various renovated contents.

- Result based planning manual, sector planning template, subsector planning templates for General Departments/Departments and planning template for the provincial Departments of Agriculture and Rural Development drafted for training and guidance for their application provided to relevant units, resulting in improvement of plan quality.

- Sector plan implementation monitoring and evaluation system established in MARD, comprising plan implementation monitoring and evaluation framework and indicators for the 5-year sector plan 2011-2015, and approved by the Ministry of Agriculture and Rural Development in the Decision No. 1621/BNN-KH dated 10 July 2012, online monitoring and evaluation databases and other specialized databases established, including cultivation, and cooperatives and rural development databases, Tra fish database, MARD investment project management database (MIC). There is the data on 1,252 projects in the MIC database with the monthly reporting rate as 95% of projects. Requirement for online reporting replacing manual reporting through paper

work has been set in Decision No. 1980/QĐ-BNN-KH dated 21 August 2012.

- Integrated database designed and installed for enhancing information sharing, reducing duplication in data collection, entry and management. Two large databases were integrated, namely: the ARD sector M&E database and the provincial ARD database of 63 provinces/cities;

- To increase data occupancy rate for the existing databases, the project has provided support to identify 15 core surveys and develop the survey standard and data collection methods for these 15 surveys, submitting the list of 15 core surveys with all of this to MARD's leaders for approval. Based on the approved list of the core surveys, the concerned departments/institutions under MARD are required to prepare the survey plans for getting annual budget allocation from MARD for the surveys;

- Knowledge and capacity of the sector planners at the Ministry and two pilot provinces significantly improved through participating in the training courses on (a) result based planning, and monitoring and evaluation; (b) Forecasting for planning; (c) Use of databases and exchanging experience in planning and M&E through international conferences (5 workshops) with the participation by representatives from Korea, Chile, Malaysia, Srilanka, UK, Ireland, Ukraine, Japan and 2 study visits to Korea and Japan;

- The project's good impact and influence shown within the Ministry as well as in the agencies and organizations outside the ministry: DANIDA funded ARD sector program has applied the planning template developed by the MESMARD to its two pilot provinces as the guidance for the provincial ARD plan formulation in the DANIDA program's 5 provinces; The CPMU for the Agriculture projects under MARD, the General Departments/ Departments have learned and developed their own M&E framework and indicators, M&E system for large programs under their management. Ministry of Agriculture and Rural Development was selected by the ADB financed "Strengthening the preparation and implementation of the 2011- 2015 SEDP" project as its pilot ministry.

- A focal unit for M&E established in Department of Planning as stipulated in the Decision No. 03 /QĐ-KH dated 10 March 2013. This unit has been trained and given responsibility for maintaining planning renovation in the Ministry and expanding it to all provinces when the project is completed.

As shown in the reports of the project review missions (project outcomes and outputs quality evaluation, mid-term evaluation of MESMARD-2), MESMARD-2 is a successful project with its appropriate, efficient, effective and sustainable approach thank to the high committeemen of the leaders of Ministry of Agriculture and Rural Development and strong integration of the project activities into the ministerial regular activities. Core planning staff, participating in the project working groups, have been aware of and actively participated in the project implementation. At the same time, the Project

Management Unit and Project Office are capable of deploying the project's activities in an effective and efficient manner.

3.4 Necessity for extending the project implementation (project extension) and demand for ODA technical assistance

Project extension (extension of project implementation period to 31 December 2015) is necessary and has been also suggested by the project mid-term review mission of MESMARD-2, conducted in 2012 for the following reasons:

- Firstly, the project extension with additional budget, as proposed, aims to assist the Ministry of Agriculture and Rural Development to develop and improve the quality of the 5-year plan 2016 - 2020 (as compared to the 5 year plan 2011-2015), including results framework, monitoring and evaluation framework and indicators. This is an important impact indicator of the MESMARD-2. If the project ends in February 2015, the 5-year Plan 2016-2020 then will be only a draft (incomplete) with inadequate information and data for evaluating the performance of the 5-year plan 2011-2015 and list of priority programs/projects in the period. Furthermore, there will be inadequate time for conducting consultations with stakeholders. Another important reason is that the information from 15 regular surveys is not collected and analyzed adequately for the next 5 year plans. As a result, the quality of the next 5-year plans, including sector and sub-sectors, will be subject to certain restrictions.

- Secondly, the extension with additional budget will allow adequate time for relevant departments/agencies to develop their 2016-2020 sector and sub-sectors plans, using the result based approach for planning and M&E with support from the national consultants.

- Thirdly, the extension with additional budget will help the project to conduct research for proposing a Master Plan on capacity building for the sector planning staff to prepare for the ARD planning reform with the application of result based method across the sector.

The project midterm evaluation team has also recommended on project extension to 31 December 2015 to realize more benefits from the project. The extension will allow running the impact assessment of the 5 year (2011-2015) agriculture and rural development plan implementation and applying the lessons learned to the formulation of the 2016-2020 Agriculture and Rural Development Plan. The extension will allow the preparation of the 2016-2020 ARD sector and sub-sector plans with full application of result based approach in at least 6 new specialized subsector departments under the Ministry of Agriculture and Rural Development and two pilot departments. With the extension, the M&E system of the 6 specialized departments and 3 General Departments will be fully implemented before the project completion. The extension will also allow the

development of replication plan, including the M&E framework, indicators and M&E system. This plan will be then widely implemented in all 63 provinces/cities after project completion. The roll-out scale depends on administrative issues, technical and financial capabilities.

These are additional benefits brought about by the project if it is extended and provided with additional funding, especially ODA funding, because the project area is also a priority area for ODA funding (Article 7, Decree 38/2013/ND-CP dated 23.04.2013 by the Government).

IV. PROJECT ARGUMENTS

4.1 Alignment of project objectives with the donor's policy and directions

The Government of Switzerland has pledged to continue its support to Vietnam through Vietnam - Switzerland cooperation program 2013-2016. In particular, to support the process of public administrative reform and sustainable poverty reduction, the Government of Switzerland will continue maintaining Swiss Development Cooperation (SDC) till 2016. In September 2013, the Government of Switzerland announced the 2013-2016 Vietnam - Switzerland cooperation program, in which Vietnam is one of the countries that will continue to receive financial aids from Switzerland.

Budget support for Vietnam - Switzerland cooperation program 2013-2016 will be increased by 50% as compared to the previous period with the focus shifted to economic cooperation through Swiss Economic Development Cooperation (SECO) in order to assist Vietnam to achieve sustainable and comprehensive growth. Out of the budget provided for the period 2013-2015, 110 million USD and 23 million USD will be expectedly provided by SECO and SDC respectively.

The followings are 5 key areas of the Swiss Development Cooperation that are covered in the 2013-2016 SECO National Strategy for Vietnam and SDC Mekong Region Strategy. SECO will focus on improving economic framework conditions for (i) macroeconomic management; (ii) trade liberalization and (iii) business environment improvement, (iv) competitiveness enhancement for small and medium enterprises; (v) sustainable production and consumption.

In the 2013-2016 period, to realize the Mekong Region Strategy and to be in line with Vietnam's policies, SDC will continue focusing on three thematic areas: Governance along with rural livelihoods; Management of natural resources; Economic development, with a particular focus on the development of the private sector. SDC is considering focusing on the emerging issues that need to be resolved by Vietnam, including food security, climate change and

poverty reduction in rural areas. MESMARD-2 is one of the three priority areas for SDC increased funding (innovation in management / administrative reform) and is also one of the priority areas for ODA funding by the Government of Vietnam (Provision 5, Article 7, Decree No. 38/2013/ ND-CP promulgating the regulation on management and use of official development assistance dated 23 April 2013 by the Government).

Currently, the SDC is providing supports for the following projects in Vietnam:

1. Forest Sector Support Partnership (TFF) (6/2008 -12/2013)
2. Policy Alternatives for Livestock Development in northern mountains (PALD) (2/2011 -12/2014)
3. Monitoring and evaluation for Poverty Reduction (Project “Monitoring and Evaluation for Management of Agriculture and Rural Development Sector - Phase 2” (MESMARD-2) (3/2010 -2 /2015)
4. Public Service Provision Improvement Programme in Agriculture and Rural Development (PS-ARD) (5/2011 - 4 / 2015).
5. Market access for Rural Poor through Value Chain Development (MARP) (10/2012 - 6/2016).
6. Developing High Quality Tea Value Chains for Poverty Reduction for Ethnic Minorities in Northern Vietnam, Laos and Myanmar (3 / 2013- 3 / 2016)
7. Pro-poor Rattan and Bamboo Value Chain Development for Women and Ethnic Minorities - Vietnam (5/2013 - 4/2016)
8. Pro-poor High Quality Red Algae Value Chain Promotion in Vietnam (7/2013 - 5/2016).
9. Leveraging the Spice Sector for Poverty Reduction Amongst Ethnic Minority Communities in Vietnam (8 /2013 - 5/2016);
10. Improving Livelihoods of Ethnic Minority Women through Sustainable Development of the Ethnic Textile Value Chain (5/2013 - 4/2016);
11. The Viet Nam Provincial Governance and Public Administration Performance Index (PAPI) (7/2011 -12/2016);
12. Support to Government Reform (One UN Plan) (8/2012 - 12/2016);
13. Vietnam Community Management (10/2012 - 9/2016);
14. Pro-poor Policy Monitoring and Analysis (01/2014-12/2015)
15. Remote Sensing-based Information and Insurance for Crops in Emerging Economies (RIICE) (2 /2012 - 01/2015).

4.2 Compliance with the donor’s project eligibility criteria

There are key criteria the project should meet, set by the donor, as follows:

- Project falls towards institutional reform and public administration reform;

- Project should follow participatory approach;
- Project should ensure transparency and ownership;

MESMARD-2 is evaluated as the project that meets all the three mentioned criteria.

V. PROJECT OBJECTIVE

According to the MTR evaluation, the project objective and key outcomes have been still relevant to the current situation and the needs and requirements for renovation raised by MARD and the project stakeholders. Therefore, the project activities to be implemented during the extended time will be insplitable and will make contribution to achieving three objectives of the MESMARD-2, as follows:

5.1 Overall objective of the MESMARD, phase 2

Overall objective of the MESMARD, phase is to contribute to an enhanced productivity in the ARD sectors and rural poverty reduction, through the better use of public funds in the ARD sectors.

The institutional reform and the renovation in the sector development strategy preparation, policy making and planning will impose long term impacts on the rural people' accessibility to resources, social welfares, technical services, and improving rural sanitation.

The results-based planning, monitoring, evaluation and policy making system for ARD sector/subsector/field that is market oriented, further enhanced, used and renovated in MARD and provincial DARDs.

5.2 MESMARD's specific objectives, phase 2

- **Specific objective 1/ Outcome 1:** A results-based approach to sector and sub-sector plan formulation and implementation (linked to M&E), is consolidated and incrementally institutionalized throughout MARD and provincial Departments of Agriculture and Rural Development (DARDs)
- **Specific objective 2/ Outcome 2:** A results-based M&E of sector and sub-sector plan implementation is consolidated and progressively adopted throughout MARD and provincial DARDs.
- **Specific objective 3/ Outcome 3:** The organizational and institutional capacity for results-based sector and sub-sector planning and implementation, M&E are strengthened at MARD and DARDs.

VI. PROJECT DESCRIPTION

6.1 The project key outcomes and outputs

To the end of the project (31/12/2015) the following outcomes are expected to be achieved:

Outcome 1:

- 14 of fourteen 2016-2020 five year sector/subsector/DARD development plans will be prepared, of which 3 of the 14 (sector and two DARD plans) will be rated as “very good” and the remaining 11 subsector development plans will be rated as “good”.
- All 14 annual sector/subsector/DARD development plans will be rated as “good”.

Outcome 2:

- All 14 M&E frameworks and indicators/targets for the 14 five year development plans of MARD, 4 piloting departments/DARDs, 3 general departments (Forestry, Fishery and Irrigation), and 6 technical departments (rolled out) will be prepared, of which 3 of the 14 will be rated as “very good” and the remaining 11 subsector development plans will be rated as “good”.
- The design and operation of the integrated database at MARD will be rated as “good”.
- 2 of 7 M&E databases, including MIC and Web site will be rated as “very good” and 5 of 7 M&E databases, including the databases of 4 piloting departments and Tra fish database will be rated as “good”.

Outcome 3:

- MARD will formulate, issue and apply the Regulation on results-based planning and M&E through the ARD sector;
- 80% of the WG members from DOP, 3 general departments and 4 piloting departments will have “good” capacity in applying the results- based management approach.
- 60% of the WG members from the newly entered departments will have “good” capacity in applying the results- based management approach;
- 100% of the ministerial leaders have gained new perception on planning and M&E renovation;
- A M&E unit established under DOP will have adequate capacity to sustain results- based management practice in MARD.

See the details in the Log frame in the Appendix 1

To achieve the three mentioned above outcomes during the phase 2 of the

MESMARD-2, the project will implement the strategies to ensure the planned outputs achieved from the key project activities, as follows:

6.2. Project implementation duration:

Project implementation duration: **01/03/2015 to 31/12/2015** (10 months)

6.3. Project scope

During the 10 month of the project extension, the following activities will be implemented:

- **At MARD**, the project continues consolidating the achieved outcomes in results-based planning, preparation of the sector (MARD) and subsector (2 piloting departments and 3 general departments) M&E frameworks and systems. In addition to that, the project will roll out the results-based management approach to 6 newly entered departments, including 4 technical and 2 generally functional departments¹, including:

- Department of Agricultural, Forestry, Fishery Processing and Salt production
- Department of Livestock
- Department of Animal Health
- Department of Agricultural, Forestry, Fishery Quality Assurance
- Department of Science, Technology and Environment
- Department of Finance.

Along with those 6 departments, the project will provide support to all remaining departments in MARD. Therefore, all 8 professional subsector departments under MARD will be supported include: Department of AFF product processing, Trade and Salt production, Department of Livestock, Department of Animal Health, Department of AFF Quality Assurance, Department of Construction Management and 2 pilot departments: Cultivation and Cooperative and Rural Development.

The following activities will be implemented at the ministerial level:

- Consolidate and gradually improve the quality of planning and M&E practice of the 2 piloting departments and 3 general departments;
- Technical assistance for improving the planning templates and supporting non- piloting departments/agencies in using results- based approach in

¹ The professional departments under MARD will participate in annually improving the M&E indicators and planning templates

preparing the 2016 plans;

- Complete the longterm forecasting model to hand over to IPSARD, as the body which has been assigned to run the model;
- Start official operating the integrated M&E database;
- Evaluate the 2011-2015 sector development plan for preparing the 2016-2020 sector development plan;
- Prepare and complete the 2016-2020 sector development plan and 11 subsector development plans, with the results-based approach used by all technical department under MARD and the piloting DARDs;
- Carry out the second and final project outcomes evaluation for documentation of the good lessons learnt to be rolled out to all 63 provinces/cities, as well as to other projects (if yes) after the project completion;
- Improve the linkage between planning and budgeting. Consider the possibility of the programs-based planning and budgeting starting with the preparation of the 2016-2020 sector development plan;
- Review the findings from 15 surveys of MARD and other available evaluation for policy making, as well as, for preparation of the 2016-2020 sector development plan;
- Continue the communication strategy aiming to the leaders and decisions makers to raise awareness of the value of the results-based approach to enhance the political commitments to application of this approach for promoting the project sustainability.
- Improve the capacity of the M&E unit members under DOP to sustain the renovated practice in MARD and 2 piloting provinces, and for scaling out to all remaining provinces/cities after the project completion.

- **At provincial level**, the project will continue consolidate the achieved outcomes in renovated planning, M&E frameworks and systems in 2 piloting provinces so that these two provinces will show a good practice to other provinces for rolling out in the future. The main activities will include the support to capacity building and training on planning renovation, forecasting, establishment of M&E system. After the piloting completion in those two DARDs, the project will prepare a extension plan, including planning templates, M&E frameworks and indicators/targets, M&E systems and the tools for capacity building for rolling out to all 63 province/cities in the future.

Based on the project's technical aspects, all products include the 5 year plan 2016-2020, M&E frameworks and indicator sets, 2016 annual plans of 14 agencies including DOP, 3 GDs, 8 professional depts as stated above and 2 pilot

provinces (Hoa Binh and Vinh Phuc) will be evaluated.

VII. PROJECT BENEFICIARIES

- The first direct beneficiary is the DOP of MARD.
- All other departments, general departments of MARD will be also the project beneficiaries through renovated subsector planning and M&E (3 general departments, 8 professional departments and 9 functional departments).
- Planning and M&E capacity of DARDs will be improved through participation in the project implementation, especially the two piloting DARDs, Hoa Binh and Vinh Phuc.
- Center for Informatics and Statistics (CIS) – the body responsible for ARD statistics at MARD is supported for standardizing the sector statistical indicators and building capacity in sector statistical works.
- The Institute for Policy and Strategy on Agriculture and Rural Development (IPSARD) will be also a beneficiary of the project in terms of the use of the information from the project M&E database and being handed over the long-term forecasting model, developed by the project. The bodies with state management functions, as well as, businesses will also benefit from renovated planning an M&E and wider information sharing practice.

VIII. PROJECT IMPLEMENTATION AND M&E PLAN

8.1 Implementation plan: From March 1st, 2015 to December 31st, 2015

To achieve the Outcome 1, the following main activities will be implemented:

- (1) Providing technical assistance to DOP, 3 general departments, 8 professional subsector departments under MARD and two piloting DARDs to prepare the 2016 plans, revise and complete the 2016-2020 plans (total: 14 agencies);
- (2) Improving the planning templates to be more harmonized and linked to budgeting to be rolled out after the project completed;
- (3) Giving guidance on using the forecasting models in planning to MARD's units and the piloting DARDs;
- (4) Organizing wider consultations on the 2016-2020 sector development plans.
- (5) Evaluating the quality of the 5 year 2016-2020 and annual 2016 plans of MARD and MARD's departments for improvement (internal and external

evaluation) before final evaluation of the project.

To achieve the Outcome 2, the following main activities will be implemented:

- (6) Providing technical assistance to DOP, 3 general departments, 8 technical departments under MARD and two piloting DARDs to prepare the 201-2020 plan results frameworks, M&E frameworks and indicators/targets (total: 14 departments/agencies);
- (7) Continuing supports to improve the database of 2 piloting departments under MARD and 2 piloting DARDs and hand it over to the M&E teams of those departments.
- (8) Starting official operation of the integrated database for enhancing information sharing, reducing the overlaps in data collection, processing and management.
- (9) Improving the list and content of the regular surveys to get the data for implementing the Master Plan on ARD Restructuring towards higher value added and sustainability, and reducing the data gaps for the new set of indicators.

To achieve the Outcome 3, the following main activities will be implemented:

- (10) Preparing the project rolling out plan, organizing the training courses for building basic capacity to prepare for the rolling out period.
- (11) Continuing the capacity building modalities as in the project design (on job training, providing guidance materials, technical meetings with the instructions given by project consultants).
- (12) Continue the communication strategy aiming to the leaders of MARD, departments and DARDs on the project products through different channels: regular performance reporting meetings, workshops, exchange forums;
- (13) Continuing involvements in the international and regional M&E associations activities to promote exchange and sharing experience with M&E experts;
- (14) Promote the renovated planning and M&E forum as an effective information exchange channels between agencies for interactive learning and accelerating renovation process;
- (15) Providing necessary training to the M&E team/unit at DOP to build adequate capacity in sustain the renovated planning and M&E practice at MARD and rolling out to all 63 provinces after the project completion.

To continuously improve the project management, the following strategic measures will be implemented:

- (16) RBM approach is continuously used by the project staff during the project extension period.
- (17) Sustaining the involvement of the WG and enhancing the active participation of the WG in preparing the 5 year 2016-2020 plans
- (18) Promoting good operation of the project M&E system to ensure having an adequate and precise data for the project final evaluation and project closing procedures when the project is completed;
- (19) Carrying out the second Outcomes and Outputs assessment to evaluate the Outcomes and Outputs quality changes in comparison with the first assessment in 2012;
- (20) Carrying out the project final evaluation for documentation of the lessons learnt before scaling out;
- (21) Supporting project auditing, tax clearance, budget final accounting and all the procedures for the project closing;

The project log frame presents the overall and specific objectives, outcomes, outputs and activities, linked to the monitoring indicators/targets as in the **Appendix 1** and project implementation plan in the **Appendix 2**

8.2 Monitoring and Evaluation Plan for the project implementation

To support the project implementation management, the project implementation monitoring and evaluating information system for extended MESMARD phase 2 will continue being in operation. Based on the monitoring and evaluation framework, a set of monitoring and evaluation indicators will be developed for specifying monitoring and evaluation scope and schedule. This process includes monitoring and evaluation implementation.

The project monitoring and evaluation system comprises the following:

- Project Log Frame
- The project outcomes and outputs quality assessment system, which defines the way to evaluate the output produced by the units involved in the project, evaluating by the goals, outcomes and outputs of the project. Quality assessment system introduces the tools of the classification system: this defines two types of assessment, namely: assessment of the project deliverables, such as the 5-year plans, annual plans, and sector/sub-sectors frameworks and indicators, databases and capacity improvement. For the project deliverables assessment, a specific criteria template for 4 quality levels of highly satisfactory, satisfactory, partly or less satisfactory, unsatisfactory for each type of project products will be established. Assessment of working group members' capacity will

identify the areas of expertise for focal assessment such as knowledge (analysis and forecasting, results-based planning and M&E) and practical skills.

For the overall project performance evaluation: The aggregate templates will be designed to do overall project performance assessment by years, by units and by areas... The project outcomes and outputs quality assessment will also be designed.

Monitoring process will be a continuous process for gathering the information on the project implementation progress indicators and on problems to support PMU to adjust and supplement additional activities or adjust the implementation strategy. At the same time, the monitoring process will provide information for evaluating the project implementation. Project monitoring by MESMARD-2 complies with regulations on ODA project management.

Project performance evaluation is conducted internally (also called internal assessment or self-assessment) and externally. Internal project implementation evaluation is conducted by the PMU by the end of the year based on project implementation monitoring information in order to evaluate the performance and efficiency of the activities, the achievement levels of the project objectives and outcomes and make decisions for strategy adjustment.

External evaluation includes quality assessment and final evaluation to be done by an independent review team conducted when the project is completed. External evaluation scope and contents will be prepared in a separate TOR.

The list of indicators for evaluating the project implementation performance is presented in the Log Frame in the Appendix 1. This set of indicators is for monitoring and evaluating the achievement of the projects' objectives at all levels: overall goal, purposes, outcomes and outputs. The overall goals, purposes and outcomes, results-oriented and impact indicators on planning and policy-making have been applied in the Ministry of Agriculture and Rural Development, and successful changes in organizational structure and planning institutions, application of the new institutional structure to planning and M&E at the Ministry of Agriculture and Rural Development have been carried out. Output indicators will measure how good the project's outputs are produced. When necessary, the project will develop its qualitative indicators to measure such aspects as the quality of the plans developed by Ministry of Agriculture and Rural Development.

During the extension period, PMU will prepare semi-annual progress report, annual report and project completion report for review and approval by the Project Steering Committee at the Steering Committee meetings held in the mid and late 2015.

IX. PROJECT IMPLEMENTATION MANAGEMENT

9.1 Implementation management capacity of the DOP- the project implementation agency

DOP was the Project Implementing Agency and the Coordinating agency in the process of MESMARD implementation since the first phase. In cooperation with all MARD departments DOP plays a key role in identifying the needs for planning and M&E renovation, selecting the methods, solutions for the renovation implementation to be more relevant to the practical needs

According to the Decision 621/QĐ-BNN-TCCB, issued by MARD in April 1st, 2014, on the Functions and Mandates of DOP, the key function of DOP is to prepare sector development strategies, master plans, sector plans and public investment management in ARD sector. It means that the assigned functions and mandates of the DOP give the DOP a legal status and entitlement to be the planning and M&E coordinator and will be the key beneficiary from capacity building given by the project.

DOP has been assigned to manage all public investment projects (PIPs) owned by the MARD. The staffs of DOP are capable with good experience to manage PIPs preparation and M&E. DOP has gained capability in coordinating and cooperating with other departments in projects implementation to promote good coordination, sharing information, experience and data for M&E between different projects relating to institutional reform, investment planning and policies.

DOP has been assigned as the project implementation unit based on its functions and mandates on giving guidance on five year and annual ARD planning and M&E, coordinating and overseeing the planning done by other departments/units under MARD. DOP has owned a number of experiencefull and eager to reform staff, who can do good management of the project. The role of DOP in planning and M&E will be continuously improved based on the results achieved in the first half of project time. The fact that DOP continues being the project implementing agency will serve as the advantageous factor to project implementation. DOP will be assigned the project management agency.

9.2 Project implementation management

The project management system consists of a project steering committee, a project management unit and a working group. This organizational structure will be kept unchanged in the project extension period, with the details as follows:

9.2.1 Project steering committee

A Steering Committee will be established to give instructions, oversee and make the key decisions during the project implementation on behalf of MARD. The membership will include:

- Vice Minister of Agriculture and Rural Development as Head of the Steering Committee.
- A representatives of MOF
- A representatives of GSO
- Representatives from the donor agency (SDC).
- Project Director.
- Leaders of General Departments/Departments and agencies of MARD, including CIS
- Leaders of the two provincial DARDs selected for the in-depth M&E work.

Steering Committee meetings will be arranged in the middle and by the end of the year to review the project progress, to approve the project work plans and financial plan, and making the decisions on strategic issues, which are out of the project manager and PMU capacity. Adhoc meetings will be organized to discuss urgent strategic issues without waiting the forthcoming regular meeting. Two weeks before each semi-annual meeting, PMU will prepare and submit to the Steering Committee members the following:

- A semi-annual project progress report showing the project results for the previous six months in comparison with the approved work plan, highlighting problems and challenges, and recommending action needed to improve the project implementation.
- A proposed work plan for the forthcoming six months.
- A financial report of project spending during the reporting period and the forecast expenditure for the forthcoming six months, in accordance with the proposed work plan;
- Any other relevant documents, such as key project technical reports or important

9.2.2 Project management unit (PMU)

The Project PMU is given full responsibility for the project management and implementation through preparing the project overall implementation plan, detail work plans and budget plans, organizing the project management and implementation monitoring. PMU will consist of:

- **Project Director** – Director of DOP utilizing around 30% of time²
- **Project Office Staff, including;**
 - (i) **Project Manager (PM):** Project Manager is a full-time position with the administrative and day- to- day operational management under the supervision of the project director;
 - (ii) **Technical coordinator (TC):** A full-time position. This position is provided based on the needs of the technical support to MARD's departments and DARDs. TC is responsible for overseeing the project's technical work, to coordinate project technical and professional activities to ensure the harmonization on the technical renovation demands at MARD's agencies with the technical support needs provided by the project's specialists and other partners. It should have a consistent coordination about the technical issues in planning and M&E from the DOP's M&E Unit and with the departments and provinces involved in project work, to provide technical support to the project, to help coordinate the work of the international and national consultants and assist the PD to make decision on the technical issues;
 - (iii) **Project Officer (PO)** – The PO will work full-time. It is needed because of the wide range of project activities to facilitate and support the project's WGs such as the WG at MARD Departments and provincial DARDs, project specialist and other partners. The main duties of the Project Officer will be to support the Technical Coordinator in overseeing progress of the project's technical work and play a role of connecting all the participating sides;
 - (iv) **Accountant:** is a full-time position, which is responsible for the project budget management, project accounting work and preparing financial reports as regulated;
 - (v) **Interpreter/Admin Assistant** is a full-time position, which is responsible for the project interpreting and translating project's documents and provide support to the project's office work;
 - (vi) **IT Specialist** is a full-time position, which is responsible for providing the supports to IT related work

In addition to the PMU full- time staff, there also have other part-time staff from two piloting departments/general departments/ DARDs to support in place to carry out the project work including:

- (i) Project component coordinators at local - support coordination of the project components - officers in the 2 selected departments and

² The position of Project Deputy Director was mentioned in the Project Document for the project phase II was to prepare for chVị trí Phó Giám đốc dự án được thiết kế trong Văn kiện dự án nhằm chuẩn bị cho giai đoạn chuyển giao khi Giám đốc dự án nghỉ hưu nên vị trí này sẽ không có trong nửa cuối của dự án (từ 1/4/2013 đến 31/12/2015).

- provincial DARDs will be assigned to take responsibilities of coordinating and implementing the pilot M&E development in these agencies with support from the Project Office's staff. These officers will work at their local places on part-time base, and get benefits as regulated for the Working Group's members;
- (ii) Members of DOP's M&E Unit - the M&E Unit of DOP will be cadres with functions of M&E the subsectors/fields' plans. The unit will have 2-3 M&E system administrators to take responsibility of administering and operating the M&E system and database. These two officials will be assigned to implement the pilot M&E database administration functions and assist agencies to get technical supports, prepare for the technical transferring in each implementation period and coordinate the project work. The M&E Unit will work part-time on M&E and still carry out their regular work at DOP under their functions;
- (iii) Technical Officials of 2 pilot departments under MARD (Part-time) - each department of MARD has a planning-unit which plays key role in renovating plans and developing the subsector planning and M&E at departments. The planning unit will coordinate project activities in the department with support from the part-time Technical Advisors.
- (iv) Technical Officials of 2 pilot provincial DARD (Part-time) - each provincial DARD has a planning and investment division, which is in charge of formulating and monitoring plans for the province and will be responsible for coordinating project activities, with support from the part-time technical advisors and getting the technical transfer, organizing and operating the system at their places. These officials will play an important role in renovating plans and developing the subsector/field M&E at provincial departments;
- (v) Working Group: Each agency under MARD has assigned at least two staff to join the working group. The WG will continue be mobilized to prepare the 2016- 2020 plan, following the project work plans and specific activity plans (outputs-based management).

The Working Group has been structured as following:

- A Consultative Group comprise the managers of the Planning Division of departments and agencies and two pilot provincial DARDs. The Group members are responsible for implementing the project activities belong to their department/units. The Consultative Group will provide a channel of communication between the project and leaders of departments/agencies and will help in generating more high level support for project activities.

- A Core Working Group comprise the M&E cadres from the departments and agencies under MARD and two pilot DARDs, with the mandates to implement the technical M&E activities for the project in line with the decisions made by the Consultative Group.
- The topic Teams are for maintaining, updating and managing the databases developed under the project.

The other needs, including the needs for interpretation, translation, editing, data collection, data putting, data process, accounting management... will be addressed by getting the supports from MARD's staff or through outsourcing.

The PMU will be responsible for the project implementation management in accordance with the approved work plans in compliance with the donor and government's policies and procedures. The PMU will meet at least once per month to review the project progress, identifying and solving problems, making decisions on project implementation and other technical problems, and reaching agreement on short term work plans.

The international back stopper will also participate in the PMU meetings during his/her term. Other international consultants and national consultants will participate the PMU meetings when required.

9.2.3 Technical assistance

a. International consultants:

The international consultants will be mobilized to provide technical inputs for the quality assurance of all project products with annual time inputs allocation as in main phase II.

- International consultant (IBS): part-time work for 2 man-months
- Another international consultant: 1 man-month

b. National consultants:

With the extension of the project scope (from 5 to 14 departments) and complementary activities (support 14 departments to prepare their 5 year 2016-2020 subsector plans and M&E frameworks), the total number of man-months for national planning and M&E consultants will be increased. However, the number of man-months for the 5 core departments (DOP and 4 pilot agencies) will be reduced in comparison to the one as in the main phase 2. In previous time, to assist 5 core agencies and 9 other agencies (3 general departments and 6 professional subsector departments) to prepare their 5 year 2011-2015 and annual plans including M&E frameworks and indicator sets, the total number of

national planning and M&E consultant man-months was as follows:

- For DOP and 4 pilot agencies: 6 man- months/agency (target: the 2011-2015 five year and annual plans including M&E framework and indicator set were rated as good and very good)
- For the 9 remaining agencies (non- pilot), including 3 general departments and 6 professional subsector departments: 2 man- months /agency (5 years plan, annual plans, M&E framework and indicator set). According to the internal assessment and the project outcomes and outputs quality assessment missions in the end of 2012, the quality of the subsector plans and M&E frameworks of the pilot agencies was better than the one of non-pilot units.

From involving in preparation and improvement of the 2011-2015 five year sector and subsector plans, the WG has gained the experience in using the template for planning. However, there are some more sophisticate requirements for the 2016-2020 five year sector and subsector plans (e.g. more outcome indicators, less output indicators; the requirement for integrating the indicators to monitor the sector and subsector restructure plans; preparation of action plans to realise the newly issued Public Investment Laws, the target is that all plans and M&E frameworks will be rated as good and very good). Therefore, the technical assistance from national consultants is still needed, but with declining extent to the core departments (5 agencies: the DOP and 4 pilot agencies) and increasing to other departments/units (9 agencies: 3 general department and 6 professional subsector departments), as follows:

- The number of man-months of national consultants to provide technical assistance to the DOP and 4 pilot agencies will be reduced from 6 man-months/agency to 0.5 man-months/agency, and the five plan and annual plans including M&E framework and indicator set will be rated as very good and good.
- The number of man-months of national consultants to provide technical assistance to the 9 remaining agencies will be increased from 2 man-months /agency to 2.6 man-months/agency, and the five plan and annual plans including the M&E framework and indicator set will be rated as good. The reason for increasing the support to these 9 agencies is that the 2011- 2015 five year and annual subsector plan and the M&E frameworks and indicators of these units were rated unsatisfactory, as required by the project.

With the above mentioned technical assistance, all fourteen 2016-2020 five year plans and fourteen 2016-2020 M&E frameworks will be rated as very good and good, specifically as follows:

- 14 of 14 five year plan 2016-2020 will be prepared with the assistance from the project, of which three plan (MARD's sector plan and two provincial ARD plans) will be rated as "very good" and 11 plans will be rated as "good".
- 14 of 14 sector and subsector annual plans of 2016 will be rated as "good".
- 14 of 14 five year sector and subsector plan 2016-2020 M&E frameworks, will be prepared with the assistance from the project, of which three M&E frameworks (MARD's sector plan M&E frameworks and two provincial ARD plans M&E frameworks) will be rated as "very good" and other 11 M&E frameworks will be rated as "good".

For other national consultants include capacity building consultant, data analysis and forecasting, the man-months will be mobilized as annual level in the main phase 2.

The details on the national consultant mobilization plan are following:

- National consultant on capacity building: Total number of man-months is 1.5 to continue the capacity assessment, assisting the implementation of capacity building activities to the WG and preparation of the project extension plan;
- National consultant on planning (12.2 man-months) and M&E (13.5 man-months). These consultants will be mobilized for:
 - Assisting 14 agencies, including DOP, 3 general departments, 8 professional subsector departments and two piloting DARDs to revise and improve the five year sector and subsector plan, improving the M&E frameworks and indicators;
 - Improving the Manuals on Planning, M&E, the guidelines and templates to be used during the extension period after the project completion;
 - Assisting 14 above mentioned agencies to prepare the 2016 plan, using the template developed by the project.
- National consultants on data analysis and forecasting: two consultants
 - National consultant on data analysis (statistician): 1 month
 - National consultant on forecasting: Total man-month is 0.5 to continue the forecasting capacity building to the WG and core group.
- Other national consultants: assisting to carry out surveys, data processing, policy analysis: Total man-month is 4.5.

The following are the details on the project technical assistance for 01/3/2015-31/12/2015 period

Table 1 – Needs for technical assistance

| International and national technical assistance | Number of consultants | Total number of man-months |
|---|------------------------------|-----------------------------------|
| International consultants | 02 | 3 |
| International technical assistance consultant (IBS) | 01 | 2 |
| International consultant on forecasting | 0 | 0 |
| Other international consultant | 01 | 1 |
| National consultants | 08 | 33.2 |
| National consultants on capacity building | 01 | 1.5 |
| National consultants on planning | 02 | 12.2 |
| National consultants on M&E | 02 | 13.5 |
| National consultants on data processing and policy analysis | 02 | 1.5 |
| Other national consultants | 01 | 4.5 |

9.2.4 Other partners

- The project collaborates with some other donors, such as ONE-UN, RBM group of UNDP,.. in the core group on the forum on RBM and will continue to maintain the forum as the one of the effective channels for the RBM knowledge and experience;
- The project will involve IPSARD into the process of improvement and delivery of the long term forecasting model for sector planning and policy making, policy analysis and assessment.
- The project will cooperate with CIS in studying the possibility of integrating databases to get optimal information sharing.
- The project will cooperate and coordinate with some agencies, organizations and projects in the same areas to share the experiences and information.

X. PROJECT BUDGET

(The temporary exchange rate used for cost estimates is the one at 1/11/2014: 1 USD = 21,246 VND)

The total amount of the project cost for the extension period is: USD 608,789, equal to VND 12,934,331,094, including:

1. ODA funds: USD 440,122.00, equal to VND 9,350,832,012

2. Count part funds: USD 168,667.00, equal to VND 3,583,499,082, including:

- In cash: USD 19,015.00, equal to VND 403,992,690 from the MARD annual budget allocation. Cash contribution will be used for the project office supplies, transportation, thematic M&E systems,...
- In kinds: equal to USD): 149,652.00, equal to VND 3,179,506,392, including project office, meeting rooms, electricity, water, salary of the project implementers (members of the WG, provincial coordinators, project director), expenses for some basic survey to collect data for the M&E indicators developed under the projects.

This budget will be used for implementing the following activities:

- Technical assistance, including international and national consultants, to assist the MARD, its departments and two pilot provinces (14 agencies) in preparing the 2016- 2020 five year plan (including the M&E frameworks and indicators), in preparing the 2016 annual plans for 14 agencies, carrying out the second outcome and output quality assessment.
- Workshops and training on policy assessment, the consultation workshops on the 2016-2020 five year plans.
- Training and seminars in the country: training courses on RBM for the new comers departments and the ARD planners from all 63 provinces/cities to prepare for the rolling out.
- Operational costs and allowance for the WG members, salary and office operational costs during the 10 extended months;

The details on budget are in the Table below:

TABLE 2 - BUDGET ALLOCATED BY BUDGET LINES

Unit: USD

| # | Budget lines | Total budget from 1/3/2015 to 31/12/2015 (SDC + GOV) | SDC funds | GoV funds | Gov contribution in cash | Other contributions from GoV |
|---|---|--|-----------|-----------|--------------------------|------------------------------|
| A | B | 1=2+3 | 2 | 3=4+5 | 4 | 5 |
| 1 | Working group | 72,736 | 50,915 | 21,821 | | 21,821 |
| 2 | Meetings and workshops | 53,600 | 53,600 | 0 | | |
| 3 | Domestic training and overseas studytour | 17,500 | 17,500 | 0 | | |
| 4 | Surveys and reseaches | 114,000 | 4,000 | 110,000 | | 110,000 |
| 5 | Job contracted upon requirements | 6,000 | 6,000 | 0 | | |
| 6 | Design, development and installation of M&E databases | 20,000 | 5,000 | 0 | 15,000 | |
| 7 | Publication of the project documents | 12,000 | 12,000 | 0 | | |
| 8 | Domestic travelling, accommodation and perdiem | 15,730 | 10,030 | 5,700 | 1,594 | 4,106 |
| 9 | International travel | 6,000 | 6,000 | 0 | | |

| # | Budget lines | Total budget from 1/3/2015 to 31/12/2015 (SDC + GOV) | SDC funds | GoV funds | Gov contribution in cash | Other contributions from GoV |
|----|---------------------------|--|----------------|----------------|--------------------------|------------------------------|
| 10 | Project Personnel | 88,690 | 86,690 | 2,000 | | 2,000 |
| 11 | International consultants | 42,000 | 42,000 | 0 | | |
| 12 | National consultant | 123,006 | 123,006 | 0 | | |
| 13 | Equipment and Software | - | | 0 | | |
| 14 | Project expenses | 31,100 | 17,800 | 13,300 | 2,421 | 10,879 |
| 15 | Auditing | 4,800 | 4,800 | 0 | | |
| 16 | Contingencies | 1,627 | 781 | 846 | | 846 |
| | TOTAL | 608,789 | 440,122 | 168,667 | 19,015 | 149,652 |

Notes:

Other contributions from the Government include:

- (i) In kind contribution such as offices, meeting rooms, working facilities, office equipment;*
- (ii) Salary of MARD officials participating in the project (Working groups and technical coordinators) and Salary of the Project Director*
- (iii) Budget allocated for surveys to collect data for the M&E systems supported by the project*

Total budget of the current phase II is: **3,324,196 USD**

Of which:

- ODA funds: 2,675,235 USD
- GoV funds: 648,961 USD

The total budget of the project including the additional budget for extension period is: **3,932,985 USD**

Of which:

- ODA funds: 3,115,357 USD
- Gov funds: 817,628 USD

Details on the total budget of the project from 1st March 2010 to 31st December 2015 are in the Appendix 3.

XI. FINANCIAL ARRANGEMENT

This is a ODA project financed by a grant.

Both ODA and GoV funds will be used in accordance with regulations of the non-business expenditure source.

The Project Operational Manual and the revised cost norms approved by MARD and SDC in the main phase II will be applied for this extension period. Any change made to approved cost norms need the prior written approval of SDC and approval by MARD.

The funds from SDC will be released into the project account twice per year, in March and September, based on the approval made by the project Steering Committee after the project portfolio review meetings. The release in March by SDC will be made after receiving the annual progress report and financial statement of the previous year. The release in September will be made after receiving the first semi-annual progress report and financial statement of the reporting year.

The disbursement will follow the GoV and SDC policies and procedures. The project director will take the responsibility for the project financial matters.

The project budget will be managed at the project office. The project office will do the payment and final accounting for the expenses for two pilot departments at MARD and two pilot DARDs to support the WG members and coordinators of these four pilot units, and the expenses for the technical assistance consultants to assist in planning renovation, developing the M&E system, databases and organizing training at local levels.

The financial reporting will follow the GoV's current regulation on financial reporting applied to ODA projects. The PMU is responsible for

preparing the financial statement to submit to MARD and SDC, using the approved templates and forms (consisting of semi- annual and annual reports).

The total amount of the project cost for the extension period is: USD 608,789, equal to VND 12,934,331,094, including:

- 1. ODA funds: USD 440,122, equal to VND 9,350,832,012**
- 2. Count part funds: USD 168,667, equal to VND 3,583,499,082, including:**
 - In cash: USD 19,015.00, equal to VND 403,992,690 from the MARD annual budget allocation. Cash contribution will be used for the project office supplies, transportation, thematic M&E systems,...
 - In kinds: equal to USD): 149,652.00, equal to VND 3,179,506,392 including project office, meeting rooms, electricity, water, salary of the project implementers (members of the WG, provincial coordinators, project director), expenses for some basic survey to collect data for the M&E indicators developed under the project.

XII. ADVANCE ACTIONS

The project organizational structure, including the project Steering committee, PMU, consultants and WGs, established from the beginning of the second phase, will be maintained for the project implementation for the extended period from 1/3/2015 to 31/12/2015.

XIII. APPENDIXES

APPENDIX 1: PROJECT LOGFRAME

APPENDIX 2: PROJECT IMPLEMENTATION PLAN MATRIX

APPENDIX 3: PROJECT BUDGET PLAN (INCLUDING THE
ADDITIONAL BUDGET)

APPENDIX 1 -PROJECT LOGFRAME

| Outcome | Baseline data in 2010 | Results in 2012 | Results in 2013 | Target for 2014 | Results expected for 2015 | Data collection frequency | Verifying sources | Responsible person/unit | Notes |
|--|-----------------------|-----------------|-----------------|-----------------|---------------------------|---------------------------|-------------------|-------------------------|--|
| Goal: To contribute to an enhanced productivity in ARD sector and rural poverty reduction through better use of public funds in the ARD sector | | | | | | | | | |
| Rural poverty incidence reduced annually | 17.4% | 14.4% | 13% | 12% | 10% | Annually | GSO | DOP | |
| Average sector labor productivity increased for 5 years: achieving VND36 mil. by 2015). | VND 16.8 mil | VND 28 mil | VN 31.5 mil | VN 34.0 mil | VND 36mil. | Annually | GSO | DOP | |
| The Sector GDP/Production value increased during project implementation: 4% (achieved 68% by 2015). | 63% | 64% | 65% | 67.5% | 68% | Annually | GSO | DOP | |
| Weight of investment budget in AFF versus sector GDP (AFF/GDP) increased 1%, (achieved 13% by 2015). | 12% | 12% | 12.3% | 12.8% | 13% | Annually | GSO | DOP | |
| Outcome 1: A results-based approach to sector and sub-sector plan formulation and implementation (linked to M&E), is consolidated and incrementally institutionalized throughout MARD and provincial Departments of Agriculture and Rural Development (DARDs) | | | | | | | | | |
| 5 year sector and subsector applied with the results based approach | | | | | | | | | |
| 5 year sector plan of MARD | I/I Good (-) | I/I Good | | | I/I Very Good | By end of project | OQA, Final Review | DOP | For 2013 and 2014, 5 year plan refers to the final 5 year plan 2011-2015 with some more improvements in the plan contents such as addition of indicators related to poverty, gender, environment, sector management. For 2015, it refers to draft 5 year plan 2016-2020. |

| Outcome | Baseline data in 2010 | Results in 2012 | Results in 2013 | Target for 2014 | Results expected for 2015 | Data collection frequency | Verifying sources | Responsible person/unit | Notes |
|--|-----------------------|------------------------|-----------------------------|-----------------------------|---------------------------|---------------------------|--|---|--|
| 5 year subsector plans of 11 departments of MARD (including 3 GDs, 2 pilot depts and 6 newly selected depts) | 11/11 Fair | 4/11 Good | | | 11/11 Good | By end of project | OQA, Final Review | 11 depts | Roll-out to 6 newly selected departments however the preparation of SYP 2016-2020 will be done in 2015. SYP of the Forestry GD was not evaluated in 2012 but will be evaluated in 2015 |
| 5 year sector of provinces applied with the results based approach (2 pilot provinces over 63) | 2/63 Poor | 2/63 Good | | | 2/63 Very good | By end of project | Project annual reports Internal Quality Assessment | 2 pilot provinces: Hoa Binh and Vinh Phuc | For 2013 and 2014, 5 year plan refers to the final 5 year plan 2011-2015 with some more consolidations in the plan contents such as addition of indicators related to poverty, gender, environment, sector management. By the end of the project (2015) it refers to draft SYP 2016-2020 |
| Annual plans of the sector and subsector applied with the results based approach | | | | | | | | | |
| Annual sector plans at MARD | 1/1 Good (-) | 1/1 Good | 1/1 Good | 1/1 Very Good | 1/1 Very Good | Annual | Project annual reports Internal Quality Assessment | DOP | In 2013, annual plan refers to 2014 annual plan and in 2014, it refers to 2015 annual plans and by the end of the project, it is the 2016 annual plan. |
| Annual subsector plans of 11 departments of MARD (including 3 GDs, 2 pilot depts and 6 newly selected depts) | 11/11 Fair | 1/11 Fair 3/11 Good | 1/11 Very good 2/11 Good | 2/11 Very Good 2/11 Good | 11/11 Good | Annual | Project annual reports Internal Assessment by WGs | 11 depts | |

| Outcome | Baseline data in 2010 | Results in 2012 | Results in 2013 | Target for 2014 | Results expected for 2015 | Data collection frequency | Verifying sources | Responsible person/unit | Notes |
|---|-----------------------|-----------------|-----------------|-----------------|---------------------------|---------------------------|---|---|--|
| Annual sector plans of provinces (2 pilot provinces/63) | 2/63 Poor | 2/63 Good | 2/63 Good+ | 2/63 Very Good | 2/63 Very good | Annual | Project annual reports Internal Quality Assessment | 2 pilot provinces: Hoa Binh and Vinh Phuc | |
| The regulations on RSM in planning and M&E will be institutionalised at MARD | N/A | N/A | N/A | Available | Available | By end of project | Project annual reports Final Review | DOP and PMU | |
| Outcome 2: A results-based M&E of sector and sub-sector plan implementation is consolidated and progressively adopted throughout MARD and provincial DARDs | | | | | | | | | |
| M&E framework and indicator set of MARD | 1/1 Good (-) | 1/1 Good | | | 1/1 Very Good | By end of project | OQA, Final Review | DOP | In 2012 it refers to 5 year 2011-2015 plan framework By the end of the project it refers to draft 5 year 2016-2020 plan framework |
| M&E framework and indicator sets of 11 departments of MARD (including 3 GDs, 2 pilot depts and 6 newly selected depts) | 11/11 Fair | 4/11 Good | | | 11/11 Good | By end of project | OQA, Final Review | 11 depts | In 2012 it refers to 5 year 2011-2015 plan framework By the end of the project it refers to draft 5 year 2016-2020 plan framework |
| The M&E framework and indicator sets of provinces (2 pilot provinces/63) | 2/63 Poor | 2/63 Good | | | 2/63 Very Good | By end of project | OQA, Final Review | 11 depts | In 2012 it refers to 5 year 2011-2015 plan framework By the end of the project it refers to draft 5 year 2016-2020 plan framework |
| The integration system (M&E database and 63 provincial ARD database) | N/A | N/A | Available | Available | Good | By end of Project) | OQA, Final Review | 11 depts | |

| Outcome | Baseline data in 2010 | Results in 2012 | Results in 2013 | Target for 2014 | Results expected for 2015 | Data collection frequency | Verifying sources | Responsible person/unit | Notes |
|---|-----------------------------------|---|---|---|---|---------------------------|--|---|-------|
| All M&E databases (7 databases including MIC, DOP website, 4 pilot agencies and Tra fish MIS) | 2/7 available (MIC & DOP website) | 1/7 Very Good (MIC) 5/7 Good (DOP website, 4 pilot agencies) 1/7 not available (Tra fish MIS) | 1/7 Very Good (MIC and DOP website) 5/7 Good | 2/7 Very Good (MIC and DOP website) 5/7 Good | 2/7 Very Good (MIC and DOP website) 5/7 Good | Annually | Project annual reports Internal Quality Assessment | DARD of 2 pilot provinces, Hoa Binh and Vinh Phuc | |
| Outcome 3: The organizational and institutional capacity for results-based sector and sub-sector planning and implementation, M&E are strengthened at MARD and DARDs | | | | | | | | | |
| Sector and sub-sector M&E frameworks issued (14 sets including the sector at MARD, 11 MARD depts and 2 pilot provinces) | N/A | 1/14 issued (sector) | 5/14 issued (pilot agencies plus sector) | 8/14 (3 GDs, 4 pilot agencies and sector) | 14/14 issued | Annually | Project annual reports | 14 agencies | |
| RB planning, M&E regulations will be institutionalised at MARD | N/A | N/A | Available | Available | Available | By end of project | OQA, Final Review | DOP, PMU | |
| Ratio of WG members (DOP, 3 GDs, 4 pilot agencies) better applying RB approach in planning, M&E increased | 50% | 60% | 70% | 75% | 80% | Annual | Report on annual capacity assessment Project annual reports | PMU | |
| Ratio of WG members of 6 newly selected depts better applying RB approach in planning, M&E increased | N/A | N/A | 30% | 40% | 60% | Annual | Report on annual capacity assessment Project annual reports | PMU | |

| Outcome | Baseline data in 2010 | Results in 2012 | Results in 2013 | Target for 2014 | Results expected for 2015 | Data collection frequency | Verifying sources | Responsible person/unit | Notes |
|---|-----------------------|-----------------|-----------------|-----------------|---------------------------|---------------------------|--|-------------------------|-------|
| Rate of leaders of MARD agencies (MARD depts and PDARDs) having their awareness of planning renovation in accordance with the RBM propagated and improved | N/A | N/A | 30% | 70% | 100% | Annual | Report on annual capacity assessment Project annual reports | PMU | |
| Project Management | | | | | | | | | |
| The Project M&E system operates well | N/A | N/A | Good | Good | Very Good | Annual | Project annual reports Internal Quality Assessment | PMU | |

APPENDIX 2 - PROJECT WORKPLAN

| | March | April | May | June | July | August | September | October | November | December |
|---|-------|--|--|---------------------|---------------------|---|---|--|--|----------|
| HR Mobilization | | | | | | | | | | |
| 1 Working group | WG | WG | WG | WG | WG | WG | WG | WG | WG | WG |
| 2 International Backstoppers (IBS) | IBS | | | | IBS | IBS | | | | IBS |
| 3 Other international consultant | | | | | IC | | | | | |
| 4 National Planning Consultant (PPC) | PPC | PPC | PPC | PPC | PPC | PPC | PPC | PPC | PPC | PPC |
| 5 National MAS Consultant (PPC/MEC) | MEC | MEC | MEC | MEC | MEC | MEC | MEC | MEC | MEC | MEC |
| 6 National Data Analysis and Forecast (NFE) | | | NFE | NFE | NFE | | | | | |
| 7 National Capacity Building Consultant (CBC) | CBC | CBC | | CBC | | | | | | |
| 8 Other National consultants (ONC) | | ONC | ONC | | | | | | | ONC |
| 9 Auditing (AD) | AD | | | | | | | | | |
| OUTCOME 1 | | | | | | | | | | |
| Output 1.1 The 5-year 2016-2020 sector plan draft supplemented and completed | | Reviewed the framework and indicator set of the 5 YP 2016-2020 | Reviewed and further improved the draft 5 YP 2016-2020 | Consultation rounds | Consultation rounds | Revised the 5 YP 2016-2020 taking account comments from related parties | Assessment of the quality of the 5 YP 2016-2020 | Finalized the 5 YP 2016-2020 based on the quality assessment results and submitted to MARD Minister for approval | | |
| Output 1.2a The 5-year 2016-2020 plans of the 3 General Departments (GD) supplemented and completed by using the results-based approach | | Reviewed the framework and indicator set of the 5 YP 2016-2020 | Reviewed and further improved the draft 5 YP 2016-2020 | Consultation rounds | Consultation rounds | Revised the 5 YP 2016-2020 taking account comments from related parties | Assessment of the quality of the 5 YP 2016-2020 | Finalized the 5 YP 2016-2020 based on the quality assessment results and submitted to MARD Minister for approval | Finalized the 5 YP 2016-2020 based on the quality assessment results and submitted to MARD Minister for approval | |
| Output 1.2b The 5-year 2016-2020 plans of the 2 selected Departments supplemented and completed by using the results-based approach | | Reviewed the framework and indicator set of the 5 YP 2016-2020 | Reviewed and further improved the draft 5 YP 2016-2020 | Consultation rounds | Consultation rounds | Revised the 5 YP 2016-2020 taking account comments from related parties | Assessment of the quality of the 5 YP 2016-2020 | Finalized the 5 YP 2016-2020 based on the quality assessment results and submitted to MARD Minister for approval | Finalized the 5 YP 2016-2020 based on the quality assessment results and submitted to MARD Minister for approval | |
| Output 1.3 The 5-year 2016-2020 sector plans in 2 pilot provinces completed | | Reviewed the framework and indicator set of the 5 YP 2016-2020 | Reviewed and further improved the draft 5 YP 2016-2020 | Consultation rounds | Consultation rounds | Revised the 5 YP 2016-2020 taking account comments from related parties | Assessment of the quality of the 5 YP 2016-2020 | Finalized the 5 YP 2016-2020 based on the quality assessment results and submitted to MARD Minister for approval | Finalized the 5 YP 2016-2020 based on the quality assessment results and submitted to MARD Minister for approval | |

| | March | April | May | June | July | August | September | October | November | December |
|---|---|---|---|---|---|---|---|---|--|--|
| Output 1.4 The 2016 annual sector/subsector/field plans further improved | | | 2016 plan templates updated | 2016 plan templates issued by MARD | Draft 2016 annual plan | Draft 2016 annual plan | Assessment of the 2016 annual plan (According to the project's evaluation criteria) | Assessment of the 2016 annual plan (According to the project's evaluation criteria) | The 2016 annual plans revised and improved based on the actual implementation results of 2015 and budget allocated | The 2016 annual plans revised and improved based on the actual implementation results of 2015 and budget allocated |
| OUTCOME 1 | | | | | | | | | | |
| Output 2.1 The M&E system for the 5 year 2016-2020 sector plan in MARD established | Draft M&E system for the 3 YP 2016-2020 (Framework and indicator set) | The sector M&E system for 2016-2020 reviewed and further improved | The sector M&E system for 2016-2020 reviewed and further improved | The sector M&E system for 2016-2020 reviewed and further improved | Consultation rounds | Consultation rounds | The sector M&E system 2016-2020 is further improved | The sector M&E system 2016-2020 is further improved | The sector M&E system 2016-2020 is finalized | |
| Output 2.2 The existing sector M&E database revised and improved to support new indicator set and make a suitable hierarchy of responsibilities in the system | The integrated system is officially operated | The integrated system is officially operated | Data updated in the integrated database system | The integrated database system is well operated | The integrated database system is well operated | The integrated database system is well operated | Transferred the integrated database system to JOP | | | |
| Output 2.3 The sub-sector M&E systems (including database) established and operated in two selected MARD departments in support of the M&E for the sub-sector plans | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot depts are well operated (data updated, running well, good administration.) |
| Output 2.4 Sector M&E systems on the provincial scope (including database) established and operated in two selected provincial DARDs | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) | The M&E databases of 2 pilot provinces are well operated (data updated, running well, good administration.) |
| Output 2.5 The 2015 annual plan implementation result evaluation reports and the evaluation and analysis contents to develop the next year plan further revised and completed in suitability with the general indicator set revised | | | | The 2015 plan implementation prepared in accordance with the improved framework and indicator set | | | | | | |
| Output 2.6 Data quality and experience of the M&E data collection and organisation improved through the implementation of several surveys to collect data for the M&E indicator set in MARD wide relevant data | | | | | | | Revised list and contents of regular survey at MARD approved by MARD and implemented | Revised list and contents of regular survey at MARD approved by MARD and implemented | Revised list and contents of regular survey at MARD approved by MARD and implemented | |

| | March | April | May | June | July | August | September | October | November | December |
|---|--|--|--|--|--|--|--|--|--|--|
| Output 2.7 The sector/subsector M&E system at MARD/Departments/provincial DARDs supported for rolling out | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for | Continued to support other agencies to set up M&E and database system if they have demands and committed for |
| Output 2.8 DOP's website, investment capital management database and provincial management database improved | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation | DOP's website is well maintained and regularly updated with useful information for planning and policy formulation |
| OUTCOME 3 | | | | | | | | | | |
| Output 3.1 Completed organizational and institutional arrangements for sector and sub-sector planning including M&E | | | | | | | | | The Frameworks and indicator sets for 5 YP 2016-2020 approved by relevant leaders under MARD | |
| Output 3.2 The sector/subsector planning and M&E for the plan implementation further improved and consolidated | | The annual assessment of the WG capacities undertaken | Initial training delivered to the provincial planning officials of all provinces | Initial training delivered to the provincial planning officials of all provinces | ESR Plan finalized | | Meeting to review the 2016 annual plan | | | |
| PROJECT MANAGEMENT | Auditing the 2014 expenditure | Liquidated the 2014 expenditure with DOP | | | The semi-annual report and plan 2012 | | QA studies of all products of measured | QA studies of all products of measured | Final review of the project | End project report and closing procedures |

APPENDIX 3 - PROJECT BUDGET